

**UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: RAFEY et al.

Docket No.: 20381-016 (50P3903)

Serial No.: Unassigned

Group Art No.: Unassigned

Filed: Herewith

Examiner: Unassigned

For: SYSTEM AND METHOD FOR ENABLING ANONYMOUS  
PERSONALIZATION

Assistant Commissioner for Patents

Box: New Application

Washington, D.C. 20231

Dear Sir:

**ASSOCIATE POWER OF ATTORNEY**

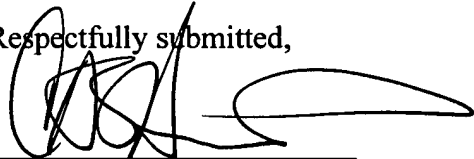
I hereby appoint Loren H. McRoss, Reg. No. 40,427 as an associate attorney to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please continue to send all future correspondence to the following address:

Claude A.S. Hamrick  
OPPENHEIMER WOLFF & DONNELLY LLP  
Customer No. 25696  
1400 Page Mill Road  
Palo Alto, CA 94304

Date: 1/23/01

Respectfully submitted,

  
Claude A.S. Hamrick  
Reg. No. 22,586

OPPENHEIMER WOLFF & DONNELLY LLP

Customer No. 25696

1400 Page Mill Road

Palo Alto, CA 94304

Telephone: (650) 320-4000

Facsimile: (650) 320-4100

**CERTIFICATE OF MAILING (37 CFR 1.10(A))**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited with the U. S. Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 as Express Mail No. EL745056777US addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: Jan 23, 2001

  
Jodie M. Price

## DECLARATION, POWER OF ATTORNEY AND PETITION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **"System and Method for Enabling Anonymous Personalization"** the specification of which

- ☒ is attached hereto  
☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_  
 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) or U.S. provisional application(s) for patent or inventor's certificate listed below and have also identified below any foreign application or U.S. provisional application(s) for patent or inventor's certificate having a filing date before that of the application of which priority is claimed.

## Prior Foreign/U.S. Provisional Application(s)

			Priority Claimed	
(Number)	(Country)	(Day, month, year filed)	Yes	No
____	____	____	____	____
(Number)	(Country)	(Day, month, year filed)	Yes	No
____	____	____	____	____
(Number)	(Country)	(Day, month, year filed)	Yes	No
____	____	____	____	____

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	Filing Date	(Status: Patented, pending, abandoned)
____	____	____
(Application Serial No.)	Filing Date	(Status: Patented, pending, abandoned)
____	____	____

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint ; W. POMS, REG. 18,782; G.P. SMITH, REG. 20,142; G. E. LANDE, REG. 22,222; A.C. ROSE, REG. 17,047; L.J. BOVASSO, REG. 24,075; C. BERMAN, REG. 29,249; D.J. OLDENKAMP, REG. 29,421; C. DARROW, REG. 30,166; M.E. HARRIS, REG. 26,690; K.A. MACLEAN, REG. 31,118; C. ROSENBERG, REG. 31,464; M.E. BROWN, REG.

09768951-012301

28,590; A.P. BLOCK, REG. 35,450; S.R. HANSEN, REG. 38,486; D.N. LARSON, REG. 29,401; J.W. INSKEEP, REG. 33,910; H.D. JASTRAM, REG. 19,777; B. CANTER, REG. 34,792; C.J. LERVICK, REG. 35,244; L. CULLMAN, REG. 39,645; C.A.S. HAMRICK, REG. 22,586; R.O. GUILLOT, REG. 28,852; J. BOYCE, REG. 40,920; C. CHOU, REG. 41,672; A.B. DIEPENBROCK III, REG. 39,960; M.K. BOSWORTH, REG. 28,186; L. SHERRY, REG. 43,918; OPPENHEIMER WOLFF & DONNELLY LLP, 3373 Hillview Avenue, Suite 200, Palo Alto, California 94304, (408) 795-3000, as my attorneys with full power of substitution and revocation, to prosecute said application and to transact in connection therewith all business in the Patent and Trademark Office and before competent International Authorities.

Address all telephone calls to Claude A. S. Hamrick at (650) 320-4000 and address all correspondence to:

Claude A. S. Hamrick, Esq.  
**OPPENHEIMER WOLFF & DONNELLY LLP**  
 3373 Hillview Avenue, Suite 200  
 Palo Alto, California 94304

Wherefore I pray that Letters Patent be granted to me for the invention or discovery described and claimed in the foregoing specification and claims, and I hereby subscribe my name to the foregoing specification and claims, declaration, power of attorney, and this petition.

Full Name of Sole or First Inventor: Richter A. Rafey

Home Address: 1394 Jackson Street, Santa Clara, CA 95050-4274

Post Office Address: Same as above

Citizenship: USA

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Full Name of Sole or First Inventor: Klaus Hofrichter

Home Address: 470 Oak Grove Dr., #305, Santa Clara, CA 95054

Post Office Address: Same as above

Citizenship: GERMANY

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Full Name of Sole or First Inventor: Mario Tokoro

Home Address: 5-15 Denenchofu Honcho, Ota-ku, Tokyo 145-0072 JAPAN

Post Office Address: Same as above

Citizenship: JAPAN

Inventor's Signature:  Date: Jan 10, 2001

00768951 012201  
 T.D.E.T.O. : T.S.09/2000

**DECLARATION, POWER OF ATTORNEY AND PETITION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **"System and Method for Enabling Anonymous Personalization"** the specification of which

☒ is attached hereto

☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) or U.S. provisional application(s) for patent or inventor's certificate listed below and have also identified below any foreign application or U.S. provisional application(s) for patent or inventor's certificate having a filing date before that of the application of which priority is claimed.

**Prior Foreign/U.S. Provisional Application(s)**

			Priority Claimed	
(Number)	(Country)	(Day, month, year filed)	Yes	No
(Number)	(Country)	(Day, month, year filed)	Yes	No
(Number)	(Country)	(Day, month, year filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	Filing Date	(Status: Patented, pending, abandoned)
--------------------------	-------------	--

(Application Serial No.)	Filing Date	(Status: Patented, pending, abandoned)
--------------------------	-------------	--

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

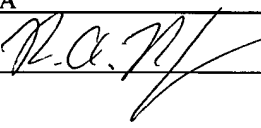
And I hereby appoint ; W. POMS, REG. 18,782; G.P. SMITH, REG. 20,142; G. E. LANDE, REG. 22,222; A.C. ROSE, REG. 17,047; L.J. BOVASSO, REG. 24,075; C. BERMAN, REG. 29,249; D.J. OLDENKAMP, REG. 29,421; C. DARROW, REG. 30,166; M.E. HARRIS, REG. 26,690; K.A. MACLEAN, REG. 31,118; C. ROSENBERG, REG. 31,464; M.E. BROWN, REG.

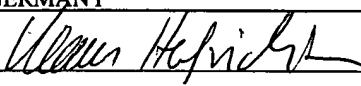
28,590; A.P. BLOCK, REG. 35,450; S.R. HANSEN, REG. 38,486; D.N. LARSON, REG. 29,401; J.W. INSKEEP, REG. 33,910; H.D. JASTRAM, REG. 19,777; B. CANTER, REG. 34,792; C.J. LERVICK, REG. 35,244; L. CULLMAN, REG. 39,645; C.A.S. HAMRICK, REG. 22,586; R.O. GUILLOT, REG. 28,852; J. BOYCE, REG. 40,920; C. CHOU, REG. 41,672; A.B. DIEPENBROCK III, REG. 39,960; M.K. BOSWORTH, REG. 28,186; L. SHERRY, REG. 43,918; OPPENHEIMER WOLFF & DONNELLY LLP, 3373 Hillview Avenue, Suite 200, Palo Alto, California 94304, (408) 795-3000, as my attorneys with full power of substitution and revocation, to prosecute said application and to transact in connection therewith all business in the Patent and Trademark Office and before competent International Authorities.

Address all telephone calls to Claude A. S. Hamrick at (650) 320-4000 and address all correspondence to:

Claude A. S. Hamrick, Esq.  
**OPPENHEIMER WOLFF & DONNELLY LLP**  
 3373 Hillview Avenue, Suite 200  
 Palo Alto, California 94304

Wherefore I pray that Letters Patent be granted to me for the invention or discovery described and claimed in the foregoing specification and claims, and I hereby subscribe my name to the foregoing specification and claims, declaration, power of attorney, and this petition.

Full Name of Sole or First Inventor: Richter A. Rafey  
1467 Lexington St. R.R.  
 Home Address: ~~1394 Jackson Street~~, Santa Clara, CA 95050-4274  
 Post Office Address: Same as above  
 Citizenship: USA  
 Inventor's Signature:  Date: 1/2/00

Full Name of Sole or First Inventor: Klaus Hofrichter  
 Home Address: 470 Oak Grove Dr., #305, Santa Clara, CA 95054  
 Post Office Address: Same as above  
 Citizenship: GERMANY  
 Inventor's Signature:  Date: 12 27 00

Full Name of Sole or First Inventor: Mario Tokoro  
 Home Address: 5-15 Denenchofu Honcho, Ota-ku, Tokyo 145-0072 JAPAN  
 Post Office Address: Same as above  
 Citizenship: JAPAN  
 Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_